2022 State Auditor’s Continuing Professional Education Series

8:15 AM – 4:30 PM

Virtual Seminar via ZOOM!

$175 per person – 8 Hours Yellow Book CPE Credit

REGISTER HERE

Field of Study: Auditing (Governmental)/Fraud

Seminar Description

This seminar blends two topics into one full-day CPE beginning with Bridging Emotional Intelligence & Critical Thinking. High EQ (Emotional Intelligence) individuals are more successful. Critical Thinking improves complex problem-solving skills. And, fortunately, we can continue to improve both throughout our lives and careers!

We'll also examine Root Cause Analysis: Getting to the Root of the Matter. In today's audit environment, there is a lot of discussion around audit functions “adding value.” Audits are time-consuming and costly, and they're often a source of frustration for the audit client. With an effective root cause analysis, the audit's recommendation will often not solve the root cause of the issue and the problems persist. In this interactive session, participants will learn through a combination of lecture, case studies, and exercises.

Course Objectives

You will learn the following in this course:

✓ Understand what emotional intelligence is and how it helps or hurts us
✓ Learn strategies to improve emotional intelligence
✓ Understand the levels of thinking and what constitutes critical thinking
✓ Discover methods to increase critical thinking and ways to identify when you are not thinking critically
✓ Examine the ways emotional intelligence and critical thinking improve communication

✓ Understanding Root Cause Analysis (RCA)
✓ Introduction to the various tools and methodologies that can be utilized for RCA
✓ Understanding how RCA can be applied within audits
✓ Understanding when to utilize RCA in an Internal Audit
✓ Understanding the common challenges when using RCA
✓ Learning how to apply RCA in practice

Mary Breslin, MBA, CIA, CFE, is the Founder of Verracy and specializes in Internal Audit transformations, Operational and Financial Auditing, Fraud Auditing and Investigations, and Corporate Accounting.

Ms. Breslin’s career spans over 20 years in Internal Auditing, Management and Accounting for companies such as ConocoPhillips, Barclays Capital, Costco Wholesale, Jefferson Wells and Boart Longyear. With significant international experience, she has managed audit programs in more than 50 countries.

Most recently, Ms. Breslin held the title of Vice President and Chief Audit Executive where she transformed a checklist audit function into a value-add audit department which regularly delivered measurable business results through the use of risk-based auditing, data analytics, continuous education, and skill development for her leadership team and staff.

Additionally, Ms. Breslin has extensive Fraud Audit and Investigation experience and has conducted major fraud investigations on multiple continents including large scale federal cases domestically. She has developed and implemented fraud auditing programs in various industries focused on both accounting and operations.

Ms. Breslin attended Rutgers University and received her BS in accounting, and an MBA from the University of Phoenix while living and working overseas. She is a Certified Internal Auditor (CIA), and Certified Fraud Examiner (CFE). She maintains memberships in the Institute of Internal Auditors (IIA), American Institute of Certified Public Accountants (AICPA), ISACA, the Society of Corporate Compliance (SCCE), and the Association of Certified Fraud Examiners (ACFE) and is currently an instructor and conference speaker for the IIA, The ACFE and ISACA.